

M e m o r a n d u m

To: CHAIR AND COMMISSIONERS

CTC Meeting: December 13-14, 2006

Reference No.: 3.5
Information Item

From: CINDY McKIM
Chief Financial Officer

Prepared by: Karla Sutliff
Division Chief
Project Management

Subject: **FY 2006-07 1st QUARTER PROJECT DELIVERY REPORT**

Attached is the Department of Transportation's 1st Quarter Project Delivery Report for FY 2006-07.

Attachment



**California
Department of Transportation**

First Quarter FY 2006-07 Project Delivery Report

**Quarterly Report to the
California Transportation
Commission**



Contents

Executive Summary	pg 1
Contract for Delivery.....	pg 2
Program Delivery Summary.....	pg 8
Environmental Document Milestones.....	pg 11
Right of Way Program.....	pg 13
Construction Program.....	pg 14
Appendix:.....	pg 15
(A) Abbreviations and Acronyms.....	pg 16
(B) Environmental Documents Delayed.....	pg 17
(C) Right of Way Status of Major Projects.....	pg 18
(D) Construction Contract Administration.....	pg 20

The Project Delivery Report is prepared quarterly in November, February, May, and August pursuant to California Transportation Commission (CTC) Resolution G-92-12. The Department of Transportation (Department) staff prepares this report. The purpose of this report is to monitor and track the progress of project delivery during the year and to compare against past years.

All costs shown are in \$1,000's unless noted otherwise. Abbreviations and acronyms are listed in the appendix.

Executive Summary

First Quarter FY 2006-07

Project delivery through the first quarter is on track overall. The percentage of projects delivered to date is significantly better than the first quarter of prior delivery years.

Contract for Delivery

For FY 2006-07, the dollar value of projects planned for delivery is \$2.3 billion.

Through the first quarter, the Department delivered a total of 97 (34 percent of annual plan) highway construction contracts valued at \$454 million (80 were delivered on time and 17 were delivered early).

Program Delivery Summary

For FY 2006-07, through the first quarter, the Department has delivered a total of 221 projects valued at \$683 million from all funding programs.

Through the first quarter, the Department delivered a total of 13 (25 percent of annual plan) programmed State Transportation Improvement Program (STIP) highway construction contracts valued at \$24 million (six percent of annual plan).

Through the first quarter, the Department delivered a total of 76 (42 percent of annual plan) programmed State Highway Operations and Protection Program (SHOPP) highway construction contracts valued at \$421 million (33 percent of annual plan).

The Department has also delivered five out of 15 planned Intercity Rail projects valued at \$10 million and the one planned Retrofit Soundwall location valued at \$1 million.

Environmental Document Milestones

For FY 2006-07, the planned total number of programmed STIP and SHOPP environmental document milestones is 161.

Through the first quarter, the Department delivered 41 (36 percent of annual plan) final environmental documents and 5 (5 percent of annual plan) draft environmental documents.

Right of Way Program

For FY 2006-07, the Department received a right-of-way allocation of \$269 million dollars. Through the first quarter, the Department expended \$154 million (57 percent of annual plan).

Construction Program

There are 683 on-going construction contracts valued at \$9.6 billion.

Contract for Delivery

Director Kempton has signed a contract with each of the Department's 12 district directors committing Ready to List (RTL) Milestones for delivery by quarter. This commits what the Department counts and reports as its project delivery commitment for FY 2006-07.

The Contract for Delivery is the Department's fiscal year plan and includes programmed projects and projects funded from other sources including seismic, toll bridge, and partnership projects. The contract is not subject to change, so it does not include program amendments, emergency, or minor program projects.

Reported delivery through the first quarter is on track. All projects planned for delivery in the first quarter were delivered (80 projects valued at \$402 million).

The Department has also successfully accelerated the delivery of 17 projects valued at \$52 million from the second quarter.

The total estimated value at RTL of Contract for Delivery projects delivered through the first quarter is \$454 million.

STATUS AS OF SEPTEMBER 30, 2006

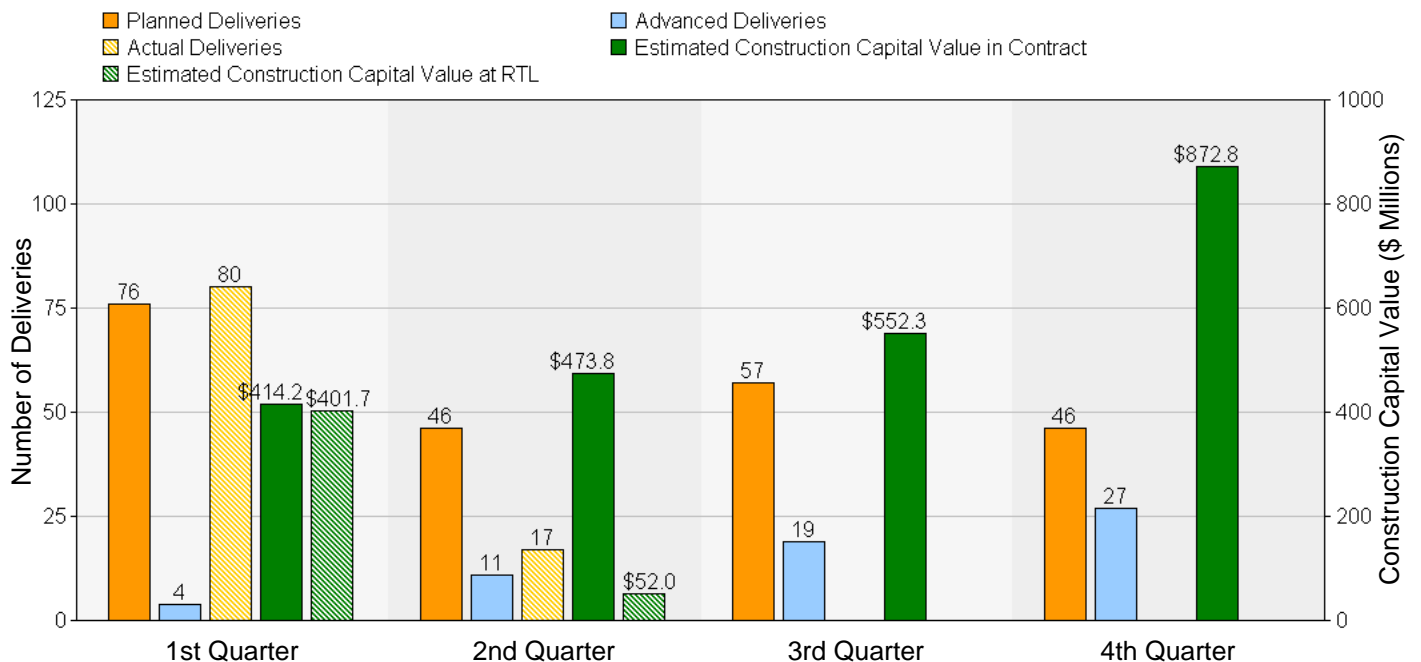


STATEWIDE Contract for Delivery FY 2006-07

Ready to List (RTL) Milestone Delivery

Description	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL
NUMBER OF DELIVERIES					
Planned FY 2006-07	76	46	57	46	225
Advanced from future	4	11	19	27	61
Total	80	57	76	73	286
Actual	80	17	0	0	97

CONSTRUCTION CAPITAL VALUE (\$ MILLIONS)					
Estimate in Contract	\$414.2	\$473.8	\$552.3	\$872.8	\$2,313.1
Estimated at RTL	\$401.7	\$52.0	\$0.0	\$0.0	\$453.6



Restoring the Golden State

The California Department of Transportation Contract for Delivery!

1st QUARTER DELIVERY REPORT

80 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	ESTIMATED CONSTRUCTION CAPITAL VALUE IN CONTRACT	PROJECT DESCRIPTION	PROJECT APPROVAL & ENVIRONMENTAL DOCUMENT	RIGHT OF WAY CERTIFICATION	PLANS, SPECIFICATIONS AND ESTIMATES	READY TO LIST
01	47300	Emg Pav	MEN	101	\$2,106,720	Mill and Replace AC		★		★
01	47310	Emg Pav	HUM	101	\$5,088,000	Mill and Replace AC		★		★
02	38300	SHOPP	SHA	44	\$6,365,000	Widen shoulders, upgrade vertical & horizontal curves		★		
02	3C200	HM - p	LAS	36	\$2,708,000	Pavement preservation overlay				★
02	4C160	Emg Pav	LAS	395	\$3,800,000	Mill and Replace AC		★		★
02	4C170	Emg Pav	LAS	36	\$1,500,000	Mill and Replace AC		★		★
02	4C180	Emg Pav	SHA	5	\$1,500,000	Mill and Replace AC		★	★	★
02	4C190	Emg Pav	SHA	299	\$750,000	Mill and Replace AC		★	★	★
02	4C200	Emg Pav	SIS	5	\$1,500,000	Mill and Replace AC		★	★	★
02	4C210	Emg Pav	SIS	97	\$1,250,000	Mill and Replace AC		★	★	★
03	0A410	STIP	PLA	49	\$5,800,000	Widen roadway				
03	0E210	HM - b	YOL	80	\$920,000	Seal decks and replace joints				★
03	0E390	SHOPP	YOL	16	\$1,133,000	Widen shoulder, construct left turn pocket improve horizontal alignment		★		★
03	0E820	STIP	PLA	49	\$100,000	Install shoulder landscaping				
03	1A910	SHOPP	SUT	99	\$14,729,000	Pavement rehabilitation		★		
03	2E130	Mobility	SAC	50	\$7,000,000	Restripe new auxiliary lane				
03	2E140	Mobility	SAC	50	\$3,500,000	Extend westbound auxiliary lane		★		
03	2E150	Mobility	SAC	50	\$3,500,000	Restripe new eastbound auxiliary lane	★	★		★
03	2E620	Emg Pav	COL	5	\$400,000	Mill and Replace AC		★		
03	2E630	Emg Pav	COL	5	\$182,000	Mill and Replace AC				
03	2E640	Emg Pav	ED	50	\$654,000	AC Overlay		★		

FIRST QUARTER JULY 1 - SEPTEMBER 30

Status as of 9/30/06



BATA - Bay Area Toll Authority
Emg Pav - Emergency Paving
Reimb - Measure Reimbursed
Stm Dam - Other Major Damage
RM1 - Regional Measure 1
Ph2 Ret - Phase 2 Retrofit
Toll Ret - Toll Retrofit

HM - p - Highway Maintenance - pavement
HM - b - Highway Maintenance - bridge
HM - d - Highway Maintenance - drainage
Mobility - Short Term Congestion Relief - Mobility Action Plan
TCRP - Traffic Congestion Relief Program
SHOPP - State Highway Operation & Protection Program
STIP - State Transportation Improvement Program

Completed
Completed Ahead of Schedule
Behind Schedule
To be Completed

www.dot.ca.gov

Restoring the Golden State

The California Department of Transportation Contract for Delivery!

1st QUARTER DELIVERY REPORT

80 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	ESTIMATED CONSTRUCTION CAPITAL VALUE IN CONTRACT	PROJECT DESCRIPTION	PROJECT APPROVAL & ENVIRONMENTAL DOCUMENT	RIGHT OF WAY CERTIFICATION	PLANS, SPECIFICATIONS AND ESTIMATES	READY TO LIST
03	2E650	Emg Pav	NEV	80	\$1,647,000	Slabs + Mill & Replace AC		★		
03	2E660	Emg Pav	PLA	80	\$3,343,000	Replace slabs				
03	2E670	Emg Pav	PLA	80	\$2,340,000	Mill and Replace AC		★		
03	2E680	Emg Pav	PLA	89	\$1,560,000	Mill and Replace AC		★		
03	3C510	HM - d	NEV	174	\$180,000	Remove and line culverts				
04	12063	STIP	NAP	29	\$658,000	Replacement highway planting		★		★
04	3A360	Mobility	SM	101	\$1,000,000	Install changeable & extinguishable message signs (CMS & EMS)		★	★	★
04	4C220	Emg Pav	ALA	580	\$400,000	Replace slabs		★	★	★
04	4C230	Emg Pav	ALA	680	\$400,000	Replace slabs		★	★	★
04	4C240	Emg Pav	ALA	880	\$250,000	Replace slabs		★	★	★
04	4C250	Emg Pav	ALA	880	\$160,000	Replace slabs		★	★	★
04	4C260	Emg Pav	CC	680	\$540,000	Mill and Replace AC		★	★	★
04	4C270	Emg Pav	CC	4	\$700,000	Mill and Replace AC		★	★	★
04	4C280	Emg Pav	CC	4	\$330,000	Replace slabs		★	★	★
04	4C290	Emg Pav	NAP	29	\$3,450,000	Mill and Replace AC		★		
04	4C300	Emg Pav	SOL	12	\$750,000	Mill and Replace AC		★		★
04	4C310	Emg Pav	SON	101	\$1,375,000	Mill and Replace AC		★	★	★
05	0N760	HM - d	SLO	41	\$291,000	Line culverts				★
05	0P590	Emg Pav	MON	101	\$7,172,000	Mill and Replace AC		★	★	★
05	0P600	Emg Pav	SLO	101	\$2,379,000	Slabs + Mill & Replace AC		★	★	★
05	0P610	Emg Pav	SCR	1	\$3,020,000	Mill and Replace AC		★	★	★

FIRST QUARTER JULY 1 - SEPTEMBER 30

Status as of 9/30/06



BATA - Bay Area Toll Authority
Emg Pav - Emergency Paving
Reimb - Measure Reimbursed
Stm Dam - Other Major Damage
RM1 - Regional Measure 1
Ph2 Ret - Phase 2 Retrofit
Toll Ret - Toll Retrofit

HM - p - Highway Maintenance - pavement
HM - b - Highway Maintenance - bridge
HM - d - Highway Maintenance - drainage
Mobility - Short Term Congestion Relief - Mobility Action Plan
TCRP - Traffic Congestion Relief Program
SHOPP - State Highway Operation & Protection Program
STIP - State Transportation Improvement Program

Completed
Completed Ahead of Schedule
Behind Schedule
To be Completed

www.dot.ca.gov

Restoring the Golden State

The California Department of Transportation Contract for Delivery!

1st QUARTER DELIVERY REPORT

80 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	ESTIMATED CONSTRUCTION CAPITAL VALUE IN CONTRACT	PROJECT DESCRIPTION	PROJECT APPROVAL & ENVIRONMENTAL DOCUMENT	RIGHT OF WAY CERTIFICATION	PLANS, SPECIFICATIONS AND ESTIMATES	READY TO LIST
05	0P620	Emg Pav	SCR	1	\$3,294,895	Mill and Replace AC		★	★	★
05	48561	STIP	SLO	101	\$4,230,000	Reconfigure ramps & construct climbing lane				★
06	0A380	SHOPP	MAD	41	\$1,474,000	Install signals and construct right turn and acceleration lanes				★
06	0A870	SHOPP	KIN	5	\$1,167,000	Construct median barrier		★		★
06	0A890	SHOPP	KIN	198	\$3,733,000	Construct median barrier				★
06	0C080	SHOPP	KER	178	\$1,151,000	Install guardrails				★
06	0E990	HM - p	FRE	5	\$3,075,000	Pavement preservation				★
06	0G020	Emg Pav	KIN	41	\$525,000	Mill and Replace AC		★	★	★
06	0G030	Emg Pav	KIN	198	\$740,000	Mill and Replace AC		★	★	★
06	0G040	Emg Pav	TUL	99	\$1,770,000	Slabs + Mill & Replace AC		★	★	★
06	34245	STIP	FRE	180	\$1,545,000	Rehabilitation		★		★
06	45940	SHOPP	TUL	99	\$14,631,000	Crack seat, AC overlay		★		★
06	46290	SHOPP	KER	5	\$5,867,000	SRRA Rehabilitate highway planting restoration		★		
07	18270	SHOPP	LA	134	\$1,933,000	Restore planting/upgrade irrigation			★	★
07	18311	SHOPP	LA	710	\$158,010,000	Rehabilitate roadway		★		
07	21080	SHOPP	LA	5953	\$3,296,000	Construct training facility				
07	25730	Mobility	LA	1	\$7,548,000	Deploy adaptive control systems		★		
07	25740	Mobility	LA	210	\$8,000,000	DES and EVAL freeway metering				
07	25760	Mobility	LA	66	\$1,117,000	Adaptive signal control system				
07	25770	Mobility	LA	72	\$1,598,000	Adaptive signal system			★	★
07	25780	Mobility	LA	107	\$1,297,000	Adaptive signal system		★	★	

FIRST QUARTER JULY 1 - SEPTEMBER 30

Status as of 9/30/06



BATA - Bay Area Toll Authority
Emg Pav - Emergency Paving
Reimb - Measure Reimbursed
Stm Dam - Other Major Damage
RM1 - Regional Measure 1
Ph2 Ret - Phase 2 Retrofit
Toll Ret - Toll Retrofit

HM - p - Highway Maintenance - pavement
HM - b - Highway Maintenance - bridge
HM - d - Highway Maintenance - drainage
Mobility - Short Term Congestion Relief - Mobility Action Plan
TCRP - Traffic Congestion Relief Program
SHOPP - State Highway Operation & Protection Program
STIP - State Transportation Improvement Program

Completed
Completed Ahead of Schedule
Behind Schedule
To be Completed

www.dot.ca.gov

Restoring the Golden State

The California Department of Transportation Contract for Delivery!

1st QUARTER DELIVERY REPORT

80 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	ESTIMATED CONSTRUCTION CAPITAL VALUE IN CONTRACT	PROJECT DESCRIPTION	PROJECT APPROVAL & ENVIRONMENTAL DOCUMENT	RIGHT OF WAY CERTIFICATION	PLANS, SPECIFICATIONS AND ESTIMATES	READY TO LIST
07	25790	Mobility	LA	213	\$937,000	Adaptive signal system		★	★	★
08	0J101	Stm Dam	SBD	40	\$18,000,000	East bound bridge replacement			★	
09	33070	HM - d	INY	127	\$120,000	Drainage preservation		★		★
10	0L640	SHOPP	STA	99	\$2,771,000	Upgrade median barrier		★		★
11	08080	Mobility	SD	5	\$2,750,000	Install radar scanners				
11	23950	SHOPP	SD	5	\$1,660,000	Replace planting		★		★
11	23960	SHOPP	SD	5	\$2,500,000	Highway planting restoration				★
11	26770	SHOPP	SD	8	\$1,242,000	Install new signal and widen ramp		★	★	★
11	27980	Mobility	SD	5	\$2,900,000	Widen ramp at 28th street		★	★	★
11	28000	Mobility	SD	8	\$1,700,000	Widen Second Street EB offramp	No build alternative selected. Project is being deprogrammed			
11	28030	Mobility	SD	905	\$1,000,000	Modify and widen connector road		★		
11	28040	Mobility	SD	94	\$1,100,000	Relocate ramp meter and add HOV bypass lane		★	★	★
11	2T020	Mobility	SD	52	\$5,000,000	Extend west bound truck lane to accommodate merging				
12	0E060	SHOPP	ORA	405	\$22,071,000	Grind slabs and replace them as needed, cold plane and overlay ramp		★		★
12	0E160	Mobility	ORA	0	\$3,978,000	Install 13 changeable message signs				★
12	0G400	SHOPP	ORA	5	\$16,207,000	Rehabilitate the roadway pavement			★	★
12	0G690	SHOPP	ORA	405	\$7,794,000	Provide lighting in the median and at shoulders		★		★
TOTAL					\$414,162,615					

FIRST QUARTER JULY 1 - SEPTEMBER 30

Status as of 9/30/06



BATA - Bay Area Toll Authority
Emg Pav - Emergency Paving
Reimb - Measure Reimbursed
Stm Dam - Other Major Damage
RM1 - Regional Measure 1
Ph2 Ret - Phase 2 Retrofit
Toll Ret - Toll Retrofit

HM - p - Highway Maintenance - pavement
HM - b - Highway Maintenance - bridge
HM - d - Highway Maintenance - drainage
Mobility - Short Term Congestion Relief - Mobility Action Plan
TCRP - Traffic Congestion Relief Program
SHOPP - State Highway Operation & Protection Program
STIP - State Transportation Improvement Program

Completed
Completed Ahead of Schedule
Behind Schedule
To be Completed

www.dot.ca.gov

Program Delivery Summary

This section describes the number and dollar value of all projects delivered by different funding programs.

Intercity Rail Program

For FY 2006-07, 15 Intercity Rail projects valued at \$43.2 million are programmed for delivery. Through the first quarter, the Department delivered five Intercity Rail projects valued at \$10.3 million.

Number of Intercity Projects

	Q1	Q2	Q3	Q4	Annual
Plan	5	2	2	6	15
Actual	5				5

Value of Intercity Projects

	Q1	Q2	Q3	Q4	Annual
Plan	\$ 10.3	\$ 3.6	\$ 1.5	\$ 27.8	\$ 43.2
Actual	\$ 10.3	\$	\$	\$	\$ 10.3

AB 1740 Retrofit Soundwall Program

To date, 61 retrofit soundwall locations valued at \$207 million, out of a total 63 planned projects valued at \$226 million, have been delivered.

	Delivered		Completed	
Locations	61	97%	48	76%
Value	\$ 207	92%	\$ 161	71%

For FY 2006-07, one location valued at \$1 million is planned for delivery. Through the first quarter, the Department delivered the one location due valued at \$1 million.

Number of Retrofit Soundwall Locations

	Q1	Q2	Q3	Q4	Annual
Plan	1	0	0	0	1
Actual	1				1

Value of Retrofit Soundwall Locations

	Q1	Q2	Q3	Q4	Annual
Plan	\$ 1	\$ 0	\$ 0	\$ 0	\$ 1
Actual	\$ 1	\$	\$	\$	\$ 1

There are two retrofit soundwall locations to be delivered beyond FY 2006-07. The remaining locations and dollar values are shown below:

	2007-08
Projects	2
Value	\$ 5

Delivery Summary of All Programs

For FY 2006-07, through the first quarter, the Department has delivered a total of 221 projects valued at \$683 million from all programs.

Projects by Funding Programs	Number		Value	
	Annual Plan	1 st Qtr FYTD	Annual Plan	1 st Qtr FYTD
STIP	52	13	\$ 436	\$ 24
SHOPP	179	76	\$ 1,273	\$ 421
Partnership	12	2	\$ 301	\$ 10
Seismic Retrofit	2	2	\$ 29	\$ 29
TBSRA	3	2	\$ 212	\$ 16
Retrofit Soundwall	1	1	\$ 1	\$ 1
Subtotal	249	96	\$ 2,252	\$ 501
Emergency		87		\$ 146
Minor		21		\$ 10
Maintenance		17		\$ 26
Total		221		\$ 683

Detailed Delivery Summary of All Projects by Programs

Programs	Annual Number of Projects			Annual Dollar Value of Projects		
	Plan	Actual	Percent	Plan	Actual	Percent
STIP Program						
Contract STIP	31	5	16%	\$ 387	\$ 12	3%
Intercity Rail	15	5	33%	\$ 43	\$ 10	23%
Additional** STIP	6	3	50%	\$ 6	\$ 2	33%
Advanced** STIP		0			\$ 0	
TOTAL STIP	52	13	25%	\$ 436	\$ 24	6%
SHOPP Program						
Contract SHOPP	142	46	32%	\$ 1,195	\$ 355	30%
Additional** SHOPP	10	3	30%	\$ 37	\$ 25	68%
Amended** SHOPP	27	27	100%	\$ 41	\$ 41	100%
Advanced** SHOPP		0			\$ 0	
TOTAL SHOPP	179	76	42%	\$ 1,273	\$ 421	33%
Other ** Programs in Contract						
Partnership	10	0	0%	\$ 291	\$ 0	0%
Seismic Retrofit	1	1	100%	\$ 4	\$ 4	100%
TBSRA	2	1	50%	\$ 211	\$ 15	7%
Maintenance	66	9	14%	\$ 129	\$ 13	10%
Emergency	37	36	97%	\$ 96	\$ 78	81%
TOTAL "Other"	116	47	41%	\$ 731	\$ 110	15%
Additional ** Programs						
Retrofit Soundwall	1	1	100%	\$ 1	\$ 1	100%
Emergency		51			\$ 68	
Partnership		2			\$ 10	
Phase 2 Seismic		1			\$ 25	
Toll Seismic		1			\$ 1	
Minor		21			\$ 10	
Maintenance		8			\$ 13	
TOTAL "Additional"		85			\$ 128	
TOTAL All Programs						
STIP	52	13	25%	\$ 436	\$ 24	6%
SHOPP	179	76	42%	\$ 1,273	\$ 421	33%
Other	116	47	41%	\$ 731	\$ 110	15%
Subtotal	347	136	39%	\$ 2,440	\$ 555	23%
Additional		85			\$ 128	
TOTAL		221			\$ 683	

**** Notes:**

Additional – Recent projects not in contract. Includes funding reservations.

Amended – Added or deleted to program by amendment.

Advanced – Delivered early from future program year. (Not included in planned numbers)

Other – planned non-STIP/SHOPP projects committed in contract.

Delivery Percentages – Advances in contracts are included in planned figures, other advances are not included in planned figures, but are added to delivered figures.

Due to multiple funding sources on some projects, the sum of contract projects by funding source will exceed the number of planned contract projects.

Historical Program Delivery Comparison

Number of STIP Projects

	2006-07	2005-06	2004-05	2003-04
Annual Plan	52	51	7	70
1 st Qtr FYTD	13	3	1	7
Percent	25%	6%	14%	10%

Value of STIP Projects

	2006-07	2005-06	2004-05	2003-04
Annual Plan	\$ 436	\$ 108	\$ 24	\$ 1,570
1 st Qtr FYTD	\$ 24	\$ 10	\$ 3	\$ 41
Percent	6%	9%	13%	3%

Number of SHOPP Projects

	2006-07	2005-06	2004-05	2003-04
Annual Plan	179	220	294	209
1 st Qtr FYTD	76	26	35	24
Percent	42%	12%	12%	11%

Value of SHOPP Projects

	2006-07	2005-06	2004-05	2003-04
Annual Plan	\$ 1,273	\$ 1,232	\$ 1,592	\$ 878
1 st Qtr FYTD	\$ 421	\$ 87	\$ 155	\$ 164
Percent	33%	7%	10%	19%

Total Number of All Projects

	2006-07	2005-06	2004-05	2003-04
1 st Qtr FYTD	221	104	68	88

Total Value of All Projects

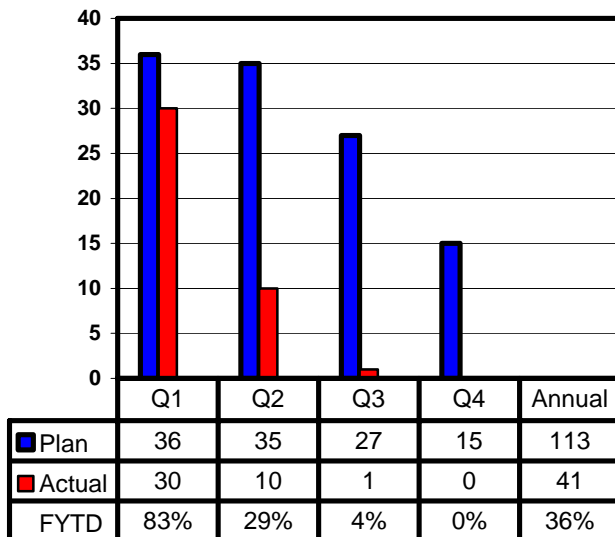
	2006-07	2005-06	2004-05	2003-04
1 st Qtr FYTD	\$ 683	\$ 349	\$ 195	\$ 492

Environmental Document Milestones

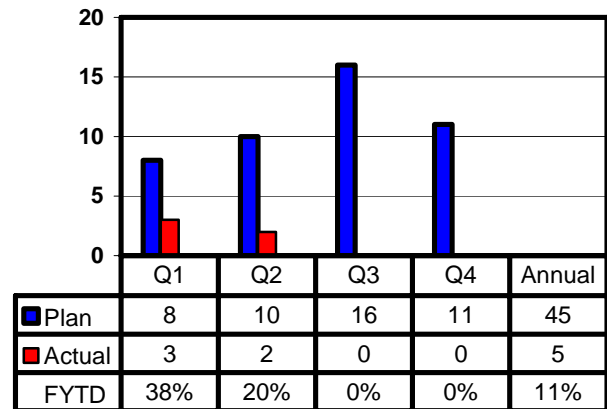
Environmental Delivery Commitment

As part of this report, the Department reports on delivery for the upcoming year of the three environmental milestones that require CTC action. The three milestones include Notice of Preparations (NOPs), Draft Environmental Documents (DEDs), and Final Environmental Documents (FEDs). To provide a comprehensive view of environmental documents under development, the Department also includes Categorical Exclusions that do not require CTC review or action. For FY 2006-07, the Department planned a total of 161 environmental milestones.

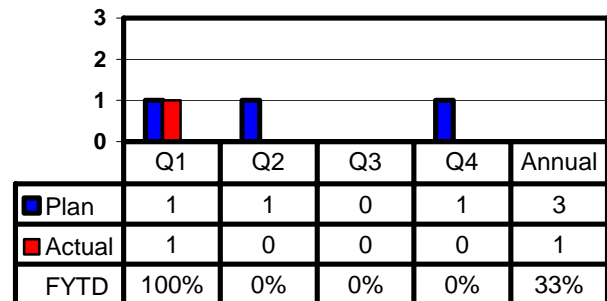
Number of FED Milestones



Number of DED Milestones



Number of NOP Milestones



There were a total of two environmental milestones (one DED and one FED) that will slip outside FY 2006-07 (listed in appendix).

Comparing historical delivery percentages, the first quarter results show higher delivery percentages for FEDs than in past years.

Detailed Breakdown of Environmental Document Delivery

Breakdown of Categories	Annual					1st Qtr Annual Percent Historical Trends			
	Plan	Complete	On Schedule	At Risk	Out FY	2006 2007	2005 2006	2004 2005	2003 2004
Documents									
Last Year Carryovers	8	1	6	0	1	13%	8%	13%	7%
Prior Carryovers	15	1	14	0	0	7%	23%	19%	
New Documents	138	45	92	0	1	33%			
All Documents	161	47	112	0	2	29%	20%	18%	7%
Milestones									
FED	113	41	71	0	1	36%	25%	21%	5%
DED	45	5	39	0	1	11%	8%	13%	10%
NOP	3	1	2	0	0	33%	0%	0%	17%
All Documents	161	47	112	0	2	29%	20%	18%	7%
Programs									
STIP & Partnership	44	5	39	0	0	11%	19%	9%	3%
SHOPP & Maintenance	117	42	73	0	2	36%	20%	21%	11%
All Documents	161	47	112	0	2	29%	20%	18%	7%
Document Type									
EIRs	23	1	22	0	0	4%	7%	7%	3%
NDs	59	9	49	0	1	15%	17%	13%	9%
CEs	79	37	41	0	1	47%	28%	32%	6%
All Documents	161	47	112	0	2	29%	20%	18%	7%

Right of Way

Right of Way Delivery Commitment

The Department's Right of Way (R/W) delivery commitment is twofold. One delivery commitment is to utilize funds approved by the CTC for acquisition of R/W. The second delivery commitment is to secure all necessary R/W requirements and to certify R/W for delivery of all projects due in the current year.

Right of Way Expenditures

R/W activities and expenditures are outlined by categories below:

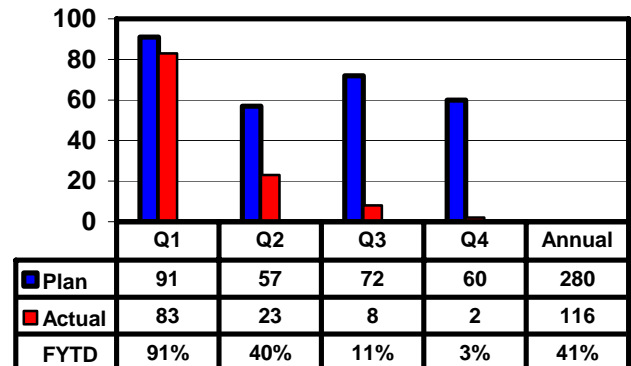
Category (\$millions)	Annual Plan	1 st Qtr FYTD	Percent
Capital Projects			
STIP	\$ 93.0	\$ 27.4	29%
SHOPP	\$ 59.0	\$ 8.3	14%
SUBTOTAL	\$ 152.0	\$ 35.7	23%
Specific Categories			
Post Certifications	\$ 28.0	\$ 22.2	79%
Hardships	\$ 0.0	\$ 0.0	0%
Inverse Condemnation*	\$ 88.0	\$ 95.6	109%
Project Development	\$ 1.0	\$ 0.1	0%
Total Specific Categories	\$ 117.0	\$ 117.9	101%
Pre-Project Mitigation	\$ 0.0	\$ 0.0	0%
TOTAL	\$ 269.0	\$ 153.6	57%

* 81 million of inverse condemnation total was for R/W program supplement for Route 144 slide.

For FY 2006-07, the Department initially requested and received a R/W allocation of \$188 million. This was supplemented by an additional \$81 million to a total of \$269 million at the September 2006 CTC meeting for inverse condemnations resulting from a slide on Santa Barbara Route 144.

By the end of the first quarter, the Department expended \$153.6 million, or 57 percent of the allocation.

Right of Way Certifications



For FY 2006-07, the planned number of R/W certifications is 280. Through the first quarter, the Department completed a total of 116 R/W certifications, 41 percent of the annual plan (83 were delivered on time and 33 were delivered early).

Historical Delivery Comparisons

As a benchmark for comparison, below are historical R/W delivery trend charts for the current year and past two years.

Past 1st Qtr Right of Way Expenditures

	2006-07	2005-06	2004-05
Annual Plan	\$ 269.0	\$ 171.0	\$ 160.0
1 st Qtr FYTD	\$ 153.6	\$ 32.2	\$ 18.3
Percent	57%	19%	11%

Past 1st Qtr Right of Way Certifications

	2006-07	2005-06	2004-05
Annual Plan	280	175	190
1 st Qtr FYTD	116	46	22
Percent	41%	26%	12%

Construction Program

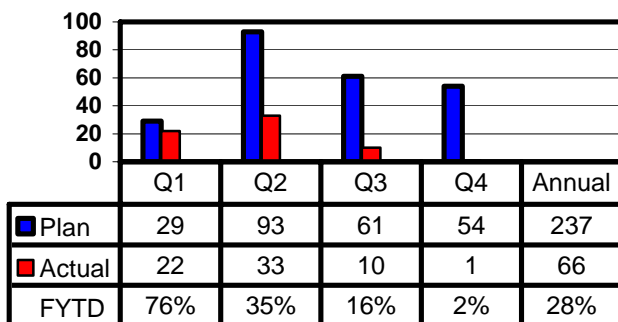
PLANNED CONSTRUCTION PROGRAM

(Excludes some projects such as minor, program amendments and emergency.)

Construction Delivery Commitment

Delivery in the eyes of our customers is achieved when capital improvements are delivered to the traveling public. This is best measured by when the construction contract is accepted.

Planned Construction Contracts Accepted



Through the first quarter of FY 2006-07, the Department had accepted a total of 66 major construction contracts out of a total of 237 planned contracts identified in the Department's delivery plan (22 were delivered on time and 44 were delivered early).

Historical Delivery Comparison

As a benchmark for comparison, shown are historical delivery trend charts for planned major construction contract acceptances.

Past 1st Qtr Construction Contracts Accepted

	2006-07	2005-06	2004-05
Plan	237	164	190
Actual	66	38	44
Percent	28%	23%	23%

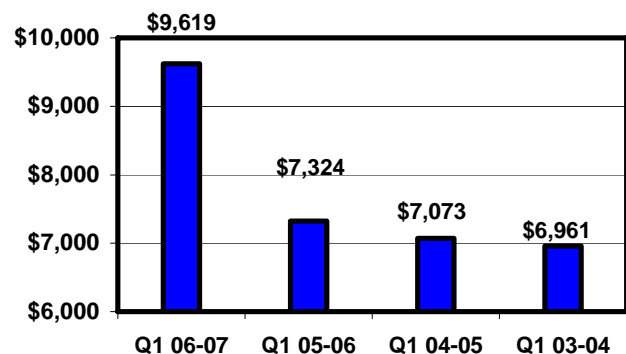
OVERALL CONSTRUCTION PROGRAM

(Includes planned programmed projects and additional minor A, amendments, and some minor B projects that are not programmed.)

Under Construction

Through the first quarter of FY 2006-07, the Department had 683 contracts valued at \$9,619 million under construction.

Value of Ongoing Contracts (\$ millions)



Construction Starts - During the first quarter of FY 2006-07, a total of 151 construction contracts valued at \$574 million were started (including minor A and some minor B projects that are not programmed).

Accepted Contracts - During the first quarter of FY 2006-07, a total of 186 construction contracts valued at \$379 million were accepted.

Contracts in Arbitration - The Department currently has 46 construction contracts in arbitration. During the first quarter of FY 2006-07, six new arbitrations were filed and 18 cases were settled or received an arbitrator's decision.

Appendix

- (A) Abbreviations and Acronyms
- (B) Environmental Documents Delayed
- (C) Right of Way Status of Major Projects
- (D) Construction Contract Administration

#	1st – First 2nd – Second 3rd – Third 4th - Fourth	P	PART – Partnership (local funded projects delivered by state including contributor funds on state funded projects (counts all non-STIP or non- SHOPP Funds) PAED – Project approval and environmental document PM – Post Mile PSE – Plans, specifications and estimate
A	AB – Assembly Bill	Q	Q1 – First Quarter Q2 – Second Quarter Q3 – Third Quarter Q4 – Fourth Quarter Qtr – Quarter
B	BATA – Bay Area Toll Authority	R	RTL – Ready to list Rte – Route R/W – Right-of-way RWC – Right-of-way certification
C	Cap – Capital (has construction) CE – Categorical Exemption Cert - Certification CTC – California Transportation Commission Cty - County	S	s/o – Support Only (no construction) SDWLL – Retrofit Soundwall funded project SHOPP – State Highway Operations and Protection Program STIP – State Transportation Improvement Program
D	Doc – Document D-EA – District and expenditure authorization DED – Draft environmental document	T	TBSRA – Toll Bridge Seismic Retrofit Account TCRF – Traffic congestion relief funds
E	ED – Environmental Document EIR – Environmental Impact Report Emerg – Emergency funded project Env - Environmental		
F	FED – Final environmental document FY – Fiscal Year FYTD – Fiscal year to date		
N	ND – Negative Declaration NOP – Notice of Preparation		

Environmental Documents Delayed Out of This Fiscal Year

[illegible]

"D" - Environmental Document Type
"E" - Environmental Impact Report
"N" - Negative Declaration
"C" - Categorical Exemption

CAP - Projects with an "X" have construction funds committed.
S/O - Support Only project, no construction funds programmed.
NOP - Notice of Preparation
\$ - Resource issues related to project funding.
RP - Resource issues related to staffing or contracting issues.

Status Of Major Projects With ROW for the 2006-2007 FY (Dollars in Thousands)

Original Major Projects with ROW					Cert Dates	Total Project			FY Expenditures Detail by Qtr					Comments			
Dist	Co	Rte	EA(s)	PPNO		Project Description	Programmed Capital Amt	Total Current Project Estimate	Allocation FY	Current FY 07 Estimate	Total YTD Expenditures	% Expended	1st Qtr		2nd Qtr	3rd Qtr	4th Qtr
													Actual		Actual	Actual	Actual
01	MEN	20	292001	0161C	CONSTRUCT 2-WAY LEFT TURN LANE	5,420	5,380	4,146	3,178	1,238	39%	1,238				Program -SHOPP (safety improvement); RW - Acq, Util, RAP, Demo; Current year RW Cert Delivery.	
03	YUB	70	376101	0366C	CONSTRUCT 4-LANE EXPRESSWAY	10,000	9,953	0	2,976	552	19%	552				Program -STIP; RW - Post Cert (Mitigation)	
03	SUT	70	3864U1	0289B 0289P 9725B	CONSTRUCT 4-LANE EXPRESSWAY	20,800	17,484	5,016	4,801	1,164	24%	1,164				Program - STIP; Funding - IIP; RW - Acq, Util, RAP, Demo; Current year RW Cert Delivery - Sutter/Yuba Route 70 Corridor	
03	YOL	50	388001	0332D	WIDEN OC & REVISE E/B RAMPS - 6 LNS	3,750	6,492	2,642	2,642	81	3%	81				Program -STIP; Funding - IIP, Local Federal (RSTP); RW - Acq, Util, RAP; FY 7/8 RW Cert Delivery	
04	CC	4	228500	0192E	RECONSTR LOVERIDGE ROAD I/C AND WIDEN FROM 4 TO 8 LANES	9,000	20,809	2,000	3,154	1,910	61%	1,910				Program - STIP; Funding - IIP, RIP, Local, RW - Acq, Util; FY 7/8 RW Cert Delivery	
05	MON	101	0161E0	0058G	CONSTRUCT INTERCHANGES AND OPERATIONAL IMPROVEMENTS	70,000	64,147	9,600	9,600	1,437	15%	1,437				Program - STIP; Funding - GF IIP, RIP, RW - Acq, FY 8/9 RW Cert Delivery	
05	SB	144	0M8201		REMOVE LANDSLIDE DEBRIS	0	83,357	81,000	81,204	81,204	100%	81,204				Inverse Condemnation Settlement. Payment of \$81,204K was a result of the SB-144 slide.	
05	SLO	101	402801	0520	INTERCHANGE IMPROVEMENTS 101/41 INTERCHANGE	4,096	5,753	630	2,727	936	34%	936				Program - STIP; Funding - RIP; RW - Acq, Util, RAP, Demo; Current year RW Cert Delivery.	
06	TUL	63	307001	6220	4C TO 8C AND IMPROVE CHANNELIZATION MOONEY BLVD 6-LANE	5,423	7,109	2,272	2,272	1,183	52%	1,183				Program - STIP; Funding - RIP; RW - Acq, Util, RAP, Demo; Current year RW Cert Delivery.	
06	TUL	190	337321	6490A	AC OVERLAY "TIPTON WIDENING"	5,900	5,038	1,467	1,467	185	13%	185				Program - SHOPP; RW - Acq, Util, RAP, Demo; FY 7/8 Cert Delivery	
06	TUL	99	490201	6368	REMOVE BRIDGE AND OFF RAMP	5,941	6,364	211	1,573	149	9%	149				Program - SHOPP; RW - Post Cert	
07	LA	10	117071	0306H	CONSTRUCT ONE HOV LN IN EA DIRECTION	26,051	50,221	3,100	3,100	0	0%	0				Program - STIP; Funding - RIP, TCRF; RW - Acq; FY 7/8 RW Cert Delivery	
07	LA	5	2159C1	2808A	CARMENITA INTERCHANGE IMPROVEMENT "FOR I-215/90K" TCRP APP'D 7/6/01	37,263	180,340	1,750	5,000	4,179	84%	4,179				Program - STIP; Funding - RIP, GF RIP, Demo, CMAQ, TCRP; RW - Acq; FY 7/8 RW Cert Delivery	
08	SBD	215	007130	0247D	ADD 2 HOV LANES & OPERATIONAL IMPROVEMENTS WIDEN FWY, MODIFY ICS	36,308	92,177	900	9,685	6,344	66%	6,344				Program - STIP; Funding - RIP, CMAQ, Local, RW - Acq, Util, RAP, Demo; Current year RW Cert Delivery	
08	SBD	30	204301	0193D	FREEWAY INTERCHANGE AND STRUCTURES	26,463	35,962	6,000	6,000	0	0%	0				Program - STIP; RW - Post Cert	
08	SBD	210	443271	4432F	CONSTRUCT RCB UNDER PROPOSED SBD-30	N/A	11,678	0	5,000	1,552	31%	1,552				Program - STIP; RW - Post Cert	
10	STA	219	0A8701	9940	WIDEN TO 4 LANES AND INTERSECTION IMPROVEMENTS "RTE 219 4-LANE WIDENING"	28,000	7,839	16,086	4,786	0	0%	0				Program - STIP; Funding - RIP; RW - Acq, Util, RAP, Demo; Current year RW Cert Delivery.	
10	STA	99	2A7701	9401	RECONSTRUCT I/C "RTE 99/WHITMORE AVE INTERCHANGE"	13,000	14,299	3,867	3,867	17	0%	17				Program - STIP; Funding - RIP; RW - Acq, Util, RAP, Demo; Current year RW Cert Delivery.	
10	CAL	4	362501	0304B	CONSTRUCT 2 LANE EXPRESSWAY ON NEW ALIGNMENT "ANGELS CAMP BYPASS"	10,200	16,164	0	5,500	5,356	97%	5,356				Program - STIP; RW - Post Cert	
10	MER	99	414801	5479	4E TO 8F ON 8F RW ALIGNMENT "A WATER FREEWAY"	7,896	7,811	3,247	3,247	914	28%	914				Program - STIP; Funding - IIP; RW - Acq, Util, RAP; Current year RW Cert Delivery	

Original Major Projects with R/W					Cert Dates	Total Project				FY Expenditures Detail by Qtr				Comments			
Dist	Co	Rte	EA(s)	PPNO		Project Description	Programmed Capital Amt	Total Current Project Estimate	Allocation FY	Current FY 07 Estimate	Total YTD Expenditures	% Expended	1st Qtr		2nd Qtr	3rd Qtr	4th Qtr
11	SD	905	091821	0374K	CONSTRUCT NEW FREEWAY (PHASE 1)	50,000	97,794	13,647	13,647	129	1%	129					Program - STIP Project; RW - Post Cert; Route 905 DS Corridor.
11	IMP	78	167821	0021	CONSTRUCT FOUR-LANE EXPRESSWAY AND INTERCHANGE	25,936	36,184	11,924	11,924	422	4%	422					Program - STIP; Funding - GF IIP, IIP, RIP, Demo, Other; RW - Acq, Util; Current year RW Cert Delivery.
12	ORA	55	0H2101	3577B	REPAIR SEVERELY DAMAGED AND WEAR DOWN BANKMENT WITH REINFORCED EARTH RETAINING STRUCTURE	10,200	10,128	10,000	11,848	11,848	100%	11,848					SHOPP Major Damage Project. Slide Area.
GRAND TOTALS						411,647	792,483	179,505	199,198	120,800	61%	120,800	0	0	0	0	
										Quarterly Projections		120,800	151,000	188,750	199,198		

Page 19 of 20



Construction Contracts - Quarterly Status Report^c

	Q1 05/06		Q2 05/06		Q3 05/06		Q4 05/06		Q1 06/07	
	Number	Value	Number	Value	Number	Value	Number	Value	Number	Value
1. Ongoing Contracts @ Beginning of Quarter	612	\$ 7,470	547	\$ 7,324	559	\$ 6,801	604	\$ 7,345	718	\$ 9,424
2. New Contracts this Quarter	97	286	170	750	121	737	210	2,543	151	574
3. Accepted Contracts this Quarter	162	432	158	1,272	76	185	96	473	186	379
Ongoing Contracts @ End of Quarter (1+2-3)	547	\$ 7,324	559	\$ 6,802	604	\$ 7,353	718	\$ 9,415	683	\$ 9,619
4. Accepted Contracts @ Beginning of Quarter ^a	1,228	\$ 4,342	873	\$ 3,575	918	\$ 4,346	955	\$ 4,392	994	\$ 4,530
5. Accepted Contracts this Quarter	162	432	158	1,272	76	185	96	473	186	379
6. Contracts Closed this Quarter	512	1,164	107	452	38	134	53	308	32	151
7. New Contracts in Arbitration this Quarter	5	35	6	49	1	5	4	27	6	227
Accepted Contracts @ End of Quarter (4+5-6-7)	873	\$ 3,575	918	\$ 4,346	955	\$ 4,392	994	\$ 4,530	1,142	\$ 4,531
Accepted Contracts with claims @ End of Quarter ^a	412	\$ 391	443	\$ 404	496	\$ 3,201	512	\$ 3,150	548	\$ 402
8. Contracts in Arbitration @ Beginning of Quarter ^b	56	\$ 872	56	\$ 824	56	\$ 833	56	\$ 836	58	\$ 814
9. New Contracts in Arbitration this Quarter	5	35	6	49	1	5	4	27	6	227
10. Contracts with Arbitration Settlements/Awards this Quarter	5	83	6	40	1	2	2	49	18	376
Contracts in Arbitration @ End of Quarter (8+9-10)	56	\$ 824	56	\$ 833	56	\$ 836	58	\$ 814	46	\$ 665

^a Accepted Contracts with close-out activities in progress (ie: claim or labor compliance resolution)
Contracts in Arbitration are not included.

^b A Contractor may file for Arbitration 240 days after project acceptance, or within 90 days after final determinations on claims have been made. Contractors must file within 90 days after the Department makes a final determination on claims or lose opportunity for arbitration. (Contractors have 180 days to file on Contracts that were approved prior to January 1, 1999.)

^c Fiscal Year 2005/06 figures updated to reflect revised or new data at the end of the current quarter.

Total dollar amount of claims at end of current quarter = \$422M

Total dollar amount filed for in arbitration at end of current quarter = \$72M